Concurrent Systems

Nebenläufige Systeme

XI. Non-Blocking Dynamic Data Structures

Wolfgang Schröder-Preikschat

January 28, 2021

Agenda

Preface

Singly Linked List
- Working Principle
- Concurrent Operation

Collating Sequences
- Stack
- Queue

Summary

Outline

Subject Matter

- discussion of the abstract concept of **non-blocking synchronisation** in the context of **dynamic data structures**
  - namely the **singly linked list** with any access pattern of the operations
  - this is compared to structures with a defined access pattern: LIFO, FIFO
    - the **stack** and the **queue** as a linked dynamic data structure
- starting from conventional sequential solutions, semantically identical non-sequential alternatives are gradually developed
  - race conditions are revealed and tricks for avoidance are presented
  - the limits of the developed solutions are discussed
- an important aspect in the considerations and driving idea is to find solutions for the **conservative handling** of dynamic data structures
  - on the one hand, this means being able to reuse the data structures of the corresponding sequential solutions
    - coordination as a *minimal extension of system functions* [5] in its purest form
    - that is, the extension only refers to the *instructions* of a virtual machine
  - on the other hand, the solutions rely on comparatively simple elementary operations such as CAS and FAS

Outline

Data Type I

- reunion with a **dynamic data structure** ([8, p. 9] and [10, p. 18]):
  - basic abstraction from any entity as a **list element**

```
1 typedef struct chain {
2     struct chain *link; /* next list element */
3 } chain_t;
```

- based on this, the following operations are defined:

```
chain_t *infix(chain_t *this, chain_t *item)
chain_t *unfix(chain_t *this)
chain_t *split(chain_t *this)
chain_t *visit(chain_t *this, chain_t *item)
chain_t *annex(chain_t *this, chain_t *item)
chain_t *erase(chain_t *this, chain_t *item)
```

  - with `this` the chain link on which the operation should work,
  - `item` the list entry to be inserted, searched for or deleted and
  - a chain link or list entry as a result, depending on the operation

Chain Operations I

- simple list manipulation: insert and unhook entry, split list

```
1  inline chain_t *infix_dos(chain_t *this, chain_t *item) {
2      item->link = this->link;
3      return this->link = item;
4  }
5  inline chain_t *unfix_dos(chain_t *this) {
6      chain_t *item;
7      item = this->link;
8      if (item != 0)
9          this->link = item->link;
10     return item;
11 }
12 inline chain_t *split_dos(chain_t *this) {
13     chain_t *tail;
14     tail = this->link;
15     this->link = 0;
16     return tail;
17 }
```

Caller assured: `infix`

An entry (`item`) to be inserted is not yet on the list.

Chain Operations II

- more complex list manipulation: seek out, append, delete

```
1  inline chain_t *visit_dos(chain_t *this, chain_t* item) {
2      chain_t *next;
3      while (this && ((next = this->link) != item))
4          this = next;
5      return this;
6  }

7  inline chain_t *annex_dos(chain_t *this, chain_t* item) {
8      return infix_dos(visit_dos(this, 0), item);
9  }

10 inline chain_t *erase_dos(chain_t *this, chain_t *item) {
11     chain_t *hand;
12     hand = visit_dos(this, item);
13     if (hand != 0)
14         hand = unfix_dos(hand);
15     return hand;
16 }
```

Application Example


```
1  #include "chain.h"
2
3  typedef struct list {
4      chain_t head;
5  } list_t;

6  inline void push(list_t *this, chain_t *item) {
7      infix(&this->head, item);
8  }
9  inline chain_t *pull(list_t *this) {
10     return unfix(&this->head);
11 }
12 inline void enlist(list_t *this, chain_t *item) {
13     annex(&this->head, item);
14 }
15 inline chain_t *delist(list_t *this) {
16     return unfix(&this->head);
17 }
```

- good for classic collating strategies
  - LIFO list $\rightsquigarrow$ stack (cf. [10, p. 19])
  - FIFO list $\rightsquigarrow$ queue

Non-Sequential Execution

- all chain operations are prone to **race conditions**:
  - `infix` ▪ new entries can disappear
  - `unfix` ▪ the same entry can be extracted several times
  - `split` ▪ list entries at the front end can disappear
  - `visit` ▪ incorrect/invalid list section can be run through
  - `annex` ▪ an entry on a wrong list can be made (inherited error)
  - `erase` ▪ an entry was wrongly not deleted (inherited error)
- they are **not thread safe** and need to be synchronised
  - blocking synchronisation can be child's play here: use a **chain monitor**
    - very simple is a medium-grain approach, with a lock on the entire list
    - more difficult is a fine-grained solution, with one lock per list item

    ↪ in both cases, the locking effort is high compared to the actual operation
  - non-blocking synchronisation is basically a fine-grained technique
    - thus also of a similar complexity as the corresponding blocking variant
    - because protecting a single list element is usually not enough

    ↪ simultaneous processes to the left and right of it must also be considered
- short blocking times are asked what motivates an optimistic approach
  - non-blocking is no more difficult than fine-grain blocking

Insert List Item: 1st Try

- attach an entry to the hook: almost too good to be true...

```
1 inline chain_t *infix_lfs(chain_t *this, chain_t *item) {
2     do item->link = this->link;
3     while (!CAS(&this->link, item->link, item));
4     return item;
5 }
```

- a certain collating strategy was wrongly assumed here: LIFO
  - this only manipulates the list on one side: at the top (`this`) of the stack
  - but now every other position on the list can be changed at the same time
- note that the insertion point here (`*this`) can be any list element
  - this list item could be deleted while a new item is being appended to it
  - thus, the new list item disappears immediately with the deleted element
- that this entry (`*this`) is currently being deleted must be indicated
  - the link pointer of the element concerned is to be regarded as frail
  - it may not be used for chaining...

Delete List Item: 1st Try

- unhook the attached element and attach its successor

Delete mark

a pointer tag

```
1  inline chain_t *unfix_lfs(chain_t *this) {
2      chain_t *item;
3      do if ((item = pure(this->link)) == 0) break;
4      while (!purge(this, item, pure(item->link)));
5      return item;
6  }
```

- the deletion indicator is based on the tagging of chain attributes (`link`)
  - when deleting (purge), the `link` pointer attribute becomes frail (l. 4)
  - when using such a pointer attribute, attention must be paid to purity (l. 3)
- the actual deletion is done using the following auxiliary function

```
7  inline bool purge(chain_t *this, chain_t *item, chain_t *next) {
8      bool done;
9      CAS(&item->link, next, mark(next, FRAIL));
10     if (!(done = CAS(&this->link, item, next)))
11         CAS(&item->link, mark(next, FRAIL), next);
12     return done;
13 }
```

- after successful deletion (CAS succeeds, l. 10), the pointer remains frail
- regular reuse makes the pointer stable again $\rightsquigarrow$ potential race condition

Tagging of Pointer Attributes

- misappropriation of bits of a pointer that are free due to alignment

```
1  typedef enum flag {
2      FRAIL=(1<<0),    /* pointer should not be used */
3      DODGY=(1<<1)     /* reusing the pointer is tricky */
4  } flag_t;
```

- simple bit operations based on this for setting, querying and cleaning

```
5  inline long mark(long item, flag_t flag) {
6      return item | flag;
7  }
8
9  inline bool just(long item, flag_t flag) {
10     return item & flag;
11 }
12
13 inline long pure(long item) {
14     return item & ~(FRAIL|DODGY);
15 }
```

Insert List Item: 2nd Try

- attach an entry to the hook: feasible only if not frail...

```
1 inline chain_t *infix_lfs(chain_t *this, chain_t *item) {
2     do {
3         item->link = this->link;
4         if (just(item->link, FRAIL))
5             return 0;
6     } while (!CAS(&this->link, item->link, item));
7     return item;
8 }
```

  - if the `hook` entry is just being deleted, it is unclear where else to attach
  - in this case, the caller must determine the new insertion point $\rightsquigarrow$ fail
- overlapping deletion (`purge`) of the link (`*this`) leaves a frail pointer
  - then CAS fails because this link pointer has been changed
    - no longer equals its original value (cf. l. 3)
  - the operation is retried but then detects the conflict (l. 3) and aborts (l. 4)
- however, reusing the deleted list item (`*this`) presents a problem
  - the `link` pointer can soon be purified again $\rightsquigarrow$ potential race condition

Safe Reuse of a Purged List Entry

- which side (of `unfix`) has to take care of this is quite controversial

caller
- only here is it known whether the list item will be reused at all

callee
- whether an entry is made in a reused list item is undecidable here
- assume the following sequence of instructions:

```
1  chain_t etc = { 0 };
2  hook = infix(&etc, a);   /* etc->a */
3  took = infix(hook, b);   /* etc->a->b */
4  node = unfix(hook);      /* etc->a, node == b */
5  if (node != 0)           /* true: typo "took" */
6      infix(&etc, node);   /* etc->b->a */
```

- as a programming error that has the same effect (from l. 2) as:

  `infix(&etc, unfix(&etc)) || infix(&etc, b)`

  - here unfixed list item "a" is reused, put back on the list
- executed in parallel with a potential race condition (cf. p. 14)

↪ a solution on the callee side does not seem to help very much...

- each side should regulate their race conditions for themselves
- this also applies to blocking synchronisation: *separation of concerns* [1]

Splitting a List

- lock-free variant

```
1 inline chain_t *split_lfs(chain_t *this) {
2     chain_t *tail;
3     do tail = this->link;
4     while (!CAS(&this->link, tail, 0));
5     return pure(tail);
6 }
```

- wait-free variant

```
1 inline chain_t *split_wfs(chain_t *this) {
2     return pure(FAS(&this->link, 0));
3 }
```

- both variants are compatible with `infix` (p. 14) and `unfix` (p. 12)
  - no conflicts like when both operations are carried out simultaneously

Search for a Link Pointer Entry

- a list entry with a frail link is problematic, following it is questionable
  - this entry was or will be deleted soon, its link is therefore undefined
- if a frail link is encountered while searching:
  - either start over again and retry (l. 5) or abort with error code (l. 7–8)

```
1  inline chain_t *visit_lfs(chain_t *this, chain_t *item) {
2      chain_t *next, *root = this;
3      while (this && ((next = this->link) != item))
4  #ifdef __FAME_CHAIN_VISIT_RETRY__
5          this = just(next, FRAIL) ? root : next;
6  #else
7          if (just(next, FRAIL)) return -1;
8          else this = next;
9  #endif
10     return this;
11 }
```

Search-Based Operations I: 1st Try


```
1  #define __FAME_CHAIN_VISIT_RETRY__
```

- append an element to the apparent end of the linked list:

```
2  inline chain_t *annex_lfs(chain_t *this, chain_t *item) {
3      return infix_lfs(visit_lfs(this, 0), item);
4  }
```

- delete an entry from the linked list:

```
5  inline chain_t *erase_lfs(chain_t *this, chain_t *item) {
6      chain_t *hand = visit_lfs(this, item);
7      if (hand != 0)
8          hand = purge(hand, item, pure(item->link));
9      return hand;
10 }
```

- but that would be too good to be true, it is not that easy
  - the composite operations consist of two complex individual steps:
    1. localisation of the list element that contains the link pointer sought
    2. application of the respective operation to the localised list element
  - both steps happen one after the other $\rightsquigarrow$ prone to **race condition**

Search-Based Operations II: 2nd Try

lock-free synchronised

```
1  #define __FAME_CHAIN_VISIT_RETRY__
```

- append an element to the apparent end of the linked list:

```
2  inline chain_t *annex_lfs(chain_t *this, chain_t* item) {
3      item->link  = 0;
4      do this = visit(this, 0);
5      while (!CAS(&this->link, 0, item));
6      return item;
7  }
```

  - after finding the end of the list, it may have been deleted or moved

- delete an entry from the linked list:

```
8  inline chain_t *erase_lfs(chain_t *this, chain_t *item) {
9      chain_t *hand;
10     do if ((hand = visit(this, item)) == 0) break;
11     while (!purge(hand, item, pure(item->link)));
12     return hand;
13 }
```

  - after finding the entry on the list, it may have been deleted

Outline

Preliminary Remark

- the access method has a great influence on possible race conditions
  - without a specific access pattern; a chain-like approach as before
  - shared variables are all link pointers in the list
  - ↪ when handling the link of any list element, attention must be paid to concurrent processes to the left and right of it

LIFO
- *last in, first out*; a stack-like approach
- shared variable is only the pointer to the list head
- ↪ attention must be paid only to concurrent processes when handling the link to the list head, all other links in the list are not critical

FIFO
- *first in, first out*; a queue-like approach
- shared variables are the pointers to the list head and tail, only
- ↪ quite similar to LIFO, except that handling the links to both the list head and the list tail has to be considered together

- following topic is singly linked lists with LIFO and FIFO semantics

Data Type II

- a singly-linked list with collating sequence *last in, first out* (LIFO):

```
1  #include "chain.h"
2
3  /* A stack data type, here represented
4   * in terms of a singly-linked list.
5   */
6  typedef struct stack {
7      chain_t head;        /* top of stack: list head */
8  } stack_t;
```

- based on this, the following operations are defined:

```
   void  push(stack_t *this, chain_t *item)
chain_t *pull(stack_t *this)
chain_t *peek(stack_t *this)
```

  - with `this` as the list head, that is, the *stack pointer*,
  - `item` as the list entry to be stacked and
  - a chain link or list entry as a result, depending on the operation

Stack Operations: 1st Try

- simply map onto the lock-free synchronised chaining operations:

```
1  inline void push(stack_t *this, chain_t  *item) {
2      infix_lfs(&this->head, item);
3  }
4
5  inline chain_t *pull(stack_t *this) {
6      return unfix_lfs(&this->head);
7  }
```

- however, this mapping does not benefit from the LIFO access method
  - overhead due to functionality that is not required is carried along:
    - tagging of pointer attributes
    - pointer tagging and untagging in `unfix`
    - checking for a frail pointer in `infix`
  - additional work, as no specific access pattern could be assumed
- this kind of **black-box reuse** without being able to derive a benefit from it in non-functional terms is a matter of dispute

Stack Operations: 2nd Try

- wait-free (synchronised) function

```
1  inline chain_t *peek(stack_t *this) {
2      return this->head.link;
3  }
```

- lock-free synchronised functions $\rightsquigarrow$ **conceptual reuse**

```
4  inline void push_lfs(stack_t *this, chain_t *item) {
5      do item->link = peek(this);
6      while (!CAS(&this->head.link, item->link, item));
7  }

8  inline chain_t *pull_lfs(stack_t *this) {
9      chain_t *item;
10     do if ((item = peek(this)) == 0) break;
11     while (!CAS(&this->head.link, item, item->link));
12     return item;
13 }
```

Data Type III

- a much more complex object of investigation, at a second glance:

```
1  typedef struct queue {
2      chain_t  head;                  /* first item */
3      chain_t *tail;                  /* insertion point */
4  } queue_t;
```

  - the tail pointer addresses the linkage element of a next item to be queued
  - it does not directly address the last element in the queue, but indirectly

- consequence is that even an empty queue shows a valid tail pointer:

```
1  inline chain_t *deplete(queue_t *this) {
2      chain_t *list = this->head.link;
3
4      this->head.link = 0;            /* null item */
5      this->tail = &this->head;       /* linkage item */
6
7      return list;
8  }
```

  - used to reset a queue and at the same time return all its list members

Queue Operations

- same **precondition** as before: an item to be queued is not yet queued
  - a simple **first-in, first-out method** (FIFO) is implemented

```
1  inline void enqueue_dos(queue_t *this, chain_t *item) {
2      item->link = 0;                  /* finalise chain */
3      this->tail->link = item;         /* append item */
4      this->tail = item;               /* set insertion point */
5  }
```

3 ▪ the queue head pointer gets set to the first item implicitly

```
6  inline chain_t *dequeue_dos(queue_t *this) {
7      chain_t *node;
8      if ((node = this->head.link)           /* filled? */
9      && !(this->head.link = node->link)) /* last item? */
10         this->tail = &this->head;          /* reset */
11     return node;
12 }
```

10 ▪ the tail pointer must always be valid, even in case of an empty queue

Append Item: *enq*||*enq*

- inspired by the lock-free solution using atomic load/store [9, p. 28]:

```
1  void enqueue_lfs(queue_t *this, chain_t *item) {
2      chain_t *last;
3
4      item->link = 0;
5
6      do last = this->tail;
7      while (!CAS(&this->tail, last, item));
8
9      last->link = item;
10 }
```

Hint (Onefold Update)

Only a single shared variable needs to be updated in this scenario.

- a **plausibility check** shows correctness as to this overlap pattern:

6
- critical shared data is the tail pointer, a local copy is read
- each overlapping enqueue holds its own copy of the tail pointer

7
- validate and, if applicable, write to update the tail pointer
- the item becomes new fastener for subsequent enqueue operations

9
- eventually, the item gets inserted and becomes queue member
- the assignment operator works on local operands, only

Remove Item: *deq||deq*

- inspired by the lock-free solution for a stack pull operation (p. 24):

```
1  chain_t *dequeue_lfs(queue_t *this) {
2      chain_t *node;
3
4      do if ((node = this->head.link) == 0) return 0;
5      while (!CAS(&this->head.link, node, node->link));
6
7      if (node->link == 0)
8          this->tail = &this->head;
9
10     return node;
11 }
```

Hint (Onefold Update)

Only a single shared variable needs to be updated in this scenario.

- a **plausibility check** shows correctness as to this overlap pattern:

4 - critical shared data is the head pointer, a local copy is read
  - each overlapping dequeue holds its own copy of the head element

5 - validate and, if applicable, write to update the head pointer

7 - each dequeued item is unique, only of them was last in the queue

8 - the tail pointer must always be valid, even in case of an empty queue

Neuralgic Points

- critical is when head *and* tail pointer refer to the same "hot spot" and enqueue and dequeue happen simultaneously
- assuming that the **shared queue** consists of only a single element:

  enq || *deq*
  - enqueue memorised the chain link of that element
  - dequeue removed that element including the chain link
  - enqueue links the new element using an invalid chain link

  ↪ **lost enqueue**: linking depends on dequeue progression

  deq || *enq*
  - dequeue removed that element and notices "vacancy"
  - enqueue appends an element to the one just removed
  - dequeue assumes "vacancy" and resets the tail pointer

  ↪ **lost enqueue**: resetting depends on enqueue progression
- enqueue and dequeue must assist each other to solve the problem:
  - i identify the conditions under which lost-enqueue may happen
  - ii identify a way of interaction between enqueue and dequeue
- assist without special auxiliary nodes but preferably with simultaneous consideration of **conservative data-structure handling**

Conservative Approach

forgo CDS or DCAS, resp.

- idea is to use the chain-link of a queue element as **auxiliary means** for the interaction between enqueue and dequeue [6]
  - let *last* be the pointer to the chain link of the queue end tail and
  - let $link_{last}$ be the chain link pointed to by *last*, then:

$$link_{last} = \begin{cases} last, & \text{chain link is valid, was not deleted} \\ 0, & \text{chain link is invalid, was deleted} \\ \text{else}, & \text{chain link points to successor element} \end{cases}$$

  - $link_{last}$ set to 0 models the per-element "deleted bit" as proposed in [2]
  - for a FIFO queue, only the end-tail element needs to carry that "bit"
- in contrast to [2], advanced idea is to do without a garbage-collection mechanism to dispose of the "deleted" queue end-tail element
  - purpose is to signal unavailability of the end-tail chain link to enqueue
  - thus, when dequeue is going to remove *last* it attempts to zero $link_{last}$
  - contrariwise, enqueue appends to *last* only if $link_{last}$ still equals *last*
- signalling as well as validation can be easily achieved using CAS
  - algorithmic construction versus CDS [3, p. 124] or DCAS [4, p. 4-66]...

Append Item: 2nd Try


```
1  void enqueue_lfs(queue_t *this, chain_t *item) {
2      chain_t *last, *hook;
3
4      item->link = item;              /* self-reference: hook */
5
6      do hook = (last = this->tail)->link;    /* tail end */
7      while (!CAS(&this->tail, last, item));
8
9      if (!CAS(&last->link, hook, item))     /* endpiece? */
10         this->head.link = item;            /* no longer! */
11 }
```

- validate availability of the ending and potential **volatile chain link**:
  - 9 CAS succeeds only if the last chain link is still a self-reference
    - in that case, the embracing last element was not dequeued
  - 10 CAS fails if the last chain link is no more a self-reference
    - in that case, the embracing last element was dequeued
  - ↪ the item to be queued must be head element of the queue, because further enqueues use this very item as leading chain link (l. 7)

Remove Item: 2nd Try


```
1  chain_t *dequeue_lfs(queue_t *this) {
2      chain_t *node, *next;
3
4      do if ((node = this->head.link) == 0) return 0;
5      while (!CAS(&this->head.link, node,
6          ((next = node->link) == node ? 0 : next)));
7
8      if (next == node) {      /* self-reference, is last */
9          if (!CAS(&node->link, next, 0)) /* try to help */
10             this->head.link = node->link;    /* filled */
11         else CAS(&this->tail, node, &this->head);
12     }
13
14     return node;
15 }
```

- validate **tail-end invariance** of a one-element queue ($head = tail$):
  - 9 CAS fails if the node dequeued no more contains a self-reference
  - 10 thus, enqueue happened and left at least one more element queued
  - 11 enqueue was assisted and the dequeued node could be last, really

Outline

Résumé

- non-blocking synchronisation of **dynamic data structures**, without being able to benefit from well-defined access patterns, is tricky
  - even the singly-linked list presents some difficulties
    - it is not enough to look at individual list elements in isolation
    - rather, entries in the immediate vicinity must also be taken into account
  - for a doubly-linked list, the solutions look quite different
- however, knowledge of **access patterns** is by no means a guarantee for simple and easy-to-use solutions
  - LIFO is simple as there is only a single shared variable in the list
    - the link to the beginning of the list, the "stack pointer"
  - in contrast to FIFO, where two such variables are to be kept consistent
    - the links to the beginning and the ending of the list, the queue pointers
    - but both links only form a critical case if the queue contains a single item
- driving force in the development of the solutions was a **conservative handling** of dynamic data structures
  - reuse of the data structures given with the original sequential variants
  - use of one-word atomic processor instructions such as CAS and FAS

Reference List I

[1] Dijkstra, E. W.:
On the Role of Scientific Thought.
http://www.cs.utexas.edu/users/EWD/ewd04xx/EWD447.PDF, Aug. 1974

[2] Harris, T. L.:
A Pragmatic Implementation of Non-blocking Linked-Lists.
In: Welch, J. (Hrsg.): *Proceedings of the 15th International Conference on Distributed Computing (DISC '01)* Bd. 2180, Springer-Verlag, 2001 (Lecture Notes in Computer Science). –
ISBN 3–540–42605–1, S. 300–314

[3] IBM Corporation (Hrsg.):
IBM System/370 Principles of Operation.
Fourth.
Poughkeepsie, New York, USA: IBM Corporation, Sept. 1 1974.
(GA22-7000-4, File No. S/370-01)

[4] Motorola Inc. (Hrsg.):
Motorola M68000 Family Programmer's Reference Manual.
Rev. 1.
Schaumburg, IL, USA: Motorola Inc., 1992.
(M68000PM/AD)

Reference List II

[5] Parnas, D. L.:
Designing software for ease of extension and contraction.
In: *Proceedings of the 3rd International Conference on Software Engineering (ICSE '78)*, ACM, 1978, S. 264–277

[6] Schön, F. ; Schröder-Preikschat, W. :
Lock-Free FIFO Queue Using CAS With Simultaneous Consideration of Conservative Data-Structure Handling.
Febr./März 2009. –
Discourse

[7] Schröder-Preikschat, W. ; Lehrstuhl Informatik 4 (Hrsg.):
Concurrent Systems.
FAU Erlangen-Nürnberg, 2014 (Lecture Slides)

[8] Schröder-Preikschat, W. :
Critical Sections.
In: [7], Kapitel 4

[9] Schröder-Preikschat, W. :
"Guarded Sections".
In: [7], Kapitel 10

Reference List III

[10] Schröder-Preikschat, W. :
Non-Blocking Synchronisation.
In: [7], Kapitel 11

Empty and Reset a Queue


```
1  inline chain_t *deplete_wfs(queue_t *this) {
2      chain_t *list = &this->head.link;
3      if (list != 0) {                    /* empty queue */
4          if (!CAS(&this->head.link, list, 0))
5              return 0;
6          this->tail = &this->head;       /* reset queue */
7      }
8      return list;
9  }
```

- depletion (dt. Entleerung) of a queue delivers all entries at once, as a singly linked list, and defines the initial state for adding new entries
  - 3 the queue is full, try to take the listed entries as a whole
  - 4 make sure that a filled queue is only emptied once
  - 5 a simultaneous deplete or dequeue causes the operation to end here!
  - 6 define the initial state of an empty queue
- line 6 defines a "turning point" for simultaneous enqueue operations
  - entries arriving *beforehand* are still added to the list to be delivered
  - *then* incoming entries are collected in the newly created queue

Epoch-wise Queue Processing

- the problem with the queue operations shown is to ensure that two pointers are changed consistently
  - both tail and head pointer change element by element per en-/dequeue
    - each enqueue creates an entry for the next "epoch" of queue processing
    - each dequeue removes an entry for processing in the current "epoch"
  - complex list manipulations per operation that have to be synchronised
- assuming only the tail pointer is read and written as usual, while the head pointer is only read and what it points to is only zeroed
  - both epochs use their own list and are isolated from each other
  - the work epoch starts with all the entries listed in the collective epoch
- these two dedicated epochs motivate the following data structure:

```
1 typedef struct epoch {
2     chain_t *work;  /* currently processed entries */
3     queue_t  next;  /* entries for the next period */
4 } epoch_t;
```

- if the `work` list runs empty, it takes over the `next` queued entries
- the queue is then completely emptied at once, reset to its initial state

Epoch Operations

- in the **collection epoch**, entries are placed in the queue as usual

```
1  void collate_dos(epoch_t *this, chain_t *item) {
2      enqueue_dos(&this->next, item);
3  }
```

- the previously collected entries are processed in the **work epoch**

```
4  chain_t *discard_dos(epoch_t *this) {
5      chain_t *item;
6      if ((item = this->work) != 0)
7          if ((this->work = item->link) == 0)
8              this->work = deplete_dos(&this->next);
9      return item;
10 }
```

- If there is no more `work` to be done, the queue of entries that have been collected in the meantime is emptied (cf. `deplete`, p. 25)
- that is, all queued entries are placed on the `work` list and the queue is reset for the `next` collection epoch

Collection Epoch

- collation of entries on a list that will be processed in the next epoch
  - it notes the next entries that are received while processing earlier entries
  - the queue (`next`) provided for this is a separate list from the `work` list

```
1  void collate_lfs(epoch_t *this, chain_t *item) {
2      chain_t *last;
3
4      do last = this->next.tail;
5      while (!CAS(&last->link, 0, item));
6
7      CAS(&this->next.tail, last, item);
8  }
```

4–5
- make sure that the `next` entry (`item`) is queued at the end: FIFO
- try again in case of a simultaneous `collate()` or `discard()`
- do this until the new last entry has been committed[1]

7
- commit the new last entry of the queue if there is no conflict
- this action releases any polling process from the loop (l. 4–5)
- which also applies when a new `work` epoch starts (cf. l. 6, p.38)

[1] A *spin on read* mode of operation makes sense if contention is too high.

Work Epoch

- processing of entries on a work list collated in the previous epoch
  - it takes the queue entries to be processed from its own, separated list
  - this list will only be shortened, but not extended—with one exception

```
1  chain_t *discard_wfs(epoch_t *this) {
2      chain_t *item;
3      if ((item = this->work) != 0)
4          if (CAS(&this->work, item, item->link))
5              if (CAS(&item->link, 0, item)) /* ran empty */
6                  this->work = deplete_wfs(&this->next);
7              else CAS(&this->work, 0, item->link);
8      return item;
9  }
```

- 3 there is at least one entry on the `work` list
- 4 delete the entry from the `work` list if it is still there
- 5–6 try to get new entries when the `work` list has been emptied
  - make sure that the last entry cannot be followed by another entry
  - end the current collection epoch, accept all entries at once
- 7 the last entry suddenly got a successor (l. 5, p. 41), note it !
- 8 deliver the next entry from the `work` list, if there is still one